

**THE REPUBLIC OF RWANDA**



**[Indicate name of Procuring Entity]**

**SAMPLE FORM OF EVALUATION REPORT  
SELECTION OF CONSULTANTS**

**Subject of Procurement:**

**Procurement Reference Number:**

**Procurement Method:**

**Date of Issue:**

**October, 2007**



This evaluation report includes five sections:

- Section I. A Short Report Summarizing the Findings of the Technical Evaluation;
- Section II. Technical Evaluation Report—Forms;
- Section III. A Short Report Summarizing the Findings of the Financial Evaluation;
- Section IV. Financial Evaluation Report—Forms;
- Section V. Annexes:
  - Annex I. Individual Evaluations;
  - Annex II. Information Data Monitoring;
  - Annex III. Minutes of the Public Opening of the Financial Proposals;
  - Annex IV. Copy of the Request for Proposals;
  - Annex V. Miscellaneous Annexes—Ad Hoc.

The report can be used for all methods of selection described in the Manual of Procedure. Though it mainly addresses Quality- and Cost-Based Selection, each section contains a note indicating the data and forms that are to be provided for the other methods of selection.



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## Section I. Technical Evaluation Report—Text<sup>1</sup>

1. **Background** Include a brief description, context, scope, and objectives of the services. **Use about a quarter of a page.**
  
2. **The Selection Process (Prior to Technical Evaluation)** Elaborate on information provided in Form IIA.  
 Describe briefly the selection process, beginning with the advertising (if required), the establishment of the shortlist, expressions of interest, and withdrawals of firms before proposal submissions. Describe major events that may have affected the timing (delays, complaints from consultants, key correspondence with the Bank, Request for Proposals (RFP), extension of proposal submission date, and so on).  
  
**Use about one-half to one page.**
  
3. **Technical Evaluation** Describe briefly the meetings and actions taken by the evaluation committee: formation of a technical evaluation team, outside assistance, evaluation guidelines, justification of subcriteria and associated weightings as indicated in the Standard Request for Proposals; relevant correspondence with any concerned funding International Development Institution; and compliance of evaluation with the provisions of the Request For Proposals.  
  
 Present results of the technical evaluation: scores and the award recommendation.  
  
 Highlight strengths and weaknesses of each proposal (most important part of the report).
  - (a) Strengths: Experience in very similar projects in the country; quality of the methodology, proving a clear understanding of the scope of the assignment; strengths of the local partner; and experience of proposed staff in similar assignments.
  - (b) Weaknesses: Of a particular component of the proposal; of a lack of experience in the country; of a low level of participation by the local partner; of a lack of practical

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<sup>1</sup> Section I applies to Quality- and Cost-Based Selection (QCBS), Quality-Based Selection (Quality-Based), Fixed-Budget Selection (Fixed-Budget), and Least-Cost Selection (Least-Cost). Provide appropriate information in the case of Selection Based on Qualifications (Qualifications) and Single-Source Selection (SS).

experience (experience in studies rather than in implementation); of staff experience compared to the firm's experience; of a key staffer (e.g., the team leader); of a lack of responsiveness; and of disqualifications (conflict of interest).

Comment on individual evaluators' scores (discrepancies).

Items requiring further negotiations.

**Use up to three pages.**



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## Section II. Technical Evaluation Report—Forms<sup>2</sup>

- Form IIA. Technical Evaluation—Basic Data
- Form IIB. Evaluation Summary—Technical Scores/Ranking
- Form IIC. Individual Evaluations—Comparison (Average Scores)

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<sup>2</sup> Section II applies to Quality- and Cost-Based Selection (QCBS), Quality-Based Selection (Quality-Based), Fixed-Budget Selection (Fixed-Budget), and Least-Cost Selection (Least-Cost). Supply appropriate data in cases of Selection Based on Qualifications (Qualifications) and Single-Source Selection (Single-Source) in Form IIA.

## Form IIA. Technical Evaluation - Basic Data

- 2.1 Name of country THE REPUBLIC OF RWANDA  
 Name of Project \_\_\_\_\_  
 Source of funding \_\_\_\_\_
- 2.2 The Procuring entity:  
 (a) name \_\_\_\_\_  
 (b) address, phone, facsimile \_\_\_\_\_  
 (c) Name of the key person in charge of the procedure \_\_\_\_\_
- 2.3 Type of assignment (brief description):  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_
- 2.4 Method of selection<sup>3</sup>: QCBS \_\_\_ Quality-Based \_\_\_  
 Fixed-Budget \_\_\_ Least-Cost \_\_\_  
 Qualifications \_\_\_ Single-Source \_\_\_
- 2.5 Request for expressions of interest<sup>4</sup>:  
 (a) publication: mention the media, newspaper. \_\_\_\_\_  
 (b) number of responses \_\_\_\_\_
- 2.6 Shortlist:  
 (a) names/nationality and legal status of firms/associations  
 1. \_\_\_\_\_  
 2. \_\_\_\_\_  
 3. \_\_\_\_\_  
 4. \_\_\_\_\_  
 5. \_\_\_\_\_  
 6. \_\_\_\_\_
- 2.7 Amendments and clarifications to the Request For Proposals (describe) \_\_\_\_\_

<sup>3</sup> See *Manual of Procedures*.

<sup>4</sup> Required for large contracts (see *Manual of Procedures*).

- \_\_\_\_\_
- 2.10 Type of Contract:
- (a) Standard Time-Based Yes \_\_\_\_\_  
Price adjustment: Yes \_\_\_\_\_ No \_\_\_\_\_
- (b) Standard Lump Sum Yes \_\_\_\_\_  
Price adjustment: Yes \_\_\_\_\_ No \_\_\_\_\_
- (c) other (describe) \_\_\_\_\_  
\_\_\_\_\_
- 2.11 Pre-proposal conference:
- (a) minutes issued Yes \_\_\_\_\_ No \_\_\_\_\_  
Yes \_\_\_\_\_ No \_\_\_\_\_
- 2.12 Proposal submission:
- (a) two envelopes (technical and financial proposals) Yes \_\_\_\_\_
- (b) one envelope (technical) Yes \_\_\_\_\_
- (c) original submission Date \_\_\_\_\_ Time \_\_\_\_\_
- (d) extensions(s) Date \_\_\_\_\_ Time \_\_\_\_\_
- 2.13 Submission of Financial Proposal Location \_\_\_\_\_
- 2.14 Opening of Technical Proposals by selection committee Date \_\_\_\_\_ Time \_\_\_\_\_
- 2.15 Number of proposals submitted \_\_\_\_\_
- 2.16 Evaluation committee<sup>5</sup>:  
Members' names and titles (normally three to five)
1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_
5. \_\_\_\_\_
- 2.17 Proposal validity period (days):
- (a) original expiration date Date \_\_\_\_\_ Time \_\_\_\_\_
- (b) extension(s), if any Date \_\_\_\_\_ Time \_\_\_\_\_
- 2.18 Evaluation Criteria/subcriteria<sup>6</sup>:
- (a) Consultants' experience
- (i) \_\_\_\_\_ Weight \_\_\_\_\_

<sup>5</sup> It is important that evaluators be qualified.

<sup>6</sup> Maximum of three subcriteria per criterion.

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(ii) _____	Weight _____
(b) methodology	
(i) _____	Weight _____
(ii) _____	Weight _____
(c) key staff	
(i) individual(s)	
(A) _____	Weight _____
(B) _____	Weight _____
(C) _____	Weight _____
(ii) group(s)	
(A) _____	Weight _____
(B) _____	Weight _____
(C) _____	Weight _____
(d) training (optional)	
(i) _____	Weight _____
(ii) _____	Weight _____
(e) local input (optional)	
(i) _____	Weight _____
(ii) _____	Weight _____

2.19 Technical scores by Consultant      Minimum qualifying score \_\_\_\_\_

Consultants' names and legal status	Technical scores
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____

## Form IIB. Evaluation Summary

### Technical Scores/Ranking

Consultants' names	<i>[Insert name of Consultant 1]</i>	<i>[Insert name of Consultant 2]</i>	<i>[Insert name of Consultant 3]</i>	<i>[Insert name of Consultant 4]</i>
Criteria	Scores	Scores	Scores	Scores
Experience				
Methodology				
Proposed staff				
Training				
Local input				
<b>Total score<sup>a</sup></b>				
Rank				

a. Proposals scoring below the minimum qualifying score of *[number]* points have been rejected.

### Form IIC. Individual Evaluations—Comparison

Consultants' Names	<i>[Insert name of Consultant 1]</i>	<i>[Insert name of Consultant 2]</i>	<i>[Insert name of Consultant 3]</i>	<i>[Insert name of Consultant 4]</i>
Criteria Experience				
Methodology				
Key staff				
Training				
Local input				
<b>Total</b>				

a. A, B, C, and D = scores given by evaluators; AV = average score, see Annex I(i).





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### **Section III. Financial Evaluation Report—Award Recommendation—Text<sup>7</sup>**

*[The text will indicate:*

- (a) any issues faced during the evaluation, such as difficulty in obtaining the exchange rates to convert the prices into the common currency used for evaluation purposes;*
- (b) adjustments made to the prices of the proposal(s) (mainly to ensure consistency with the technical proposal) and determination of the evaluated price (does not apply to Quality-Based (Quality-Based), Selection Based on Qualifications (Qualifications), and Single-Source Selection (Single-Source));*
- (c) tax-related problems;*
- (d) award recommendation; and*
- (e) any other important information.*

*Taxes are not taken into account in the financial evaluation whereas reimbursables are.]*

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<sup>7</sup> Applies to QCBS, Fixed-Budget, and Least-Cost. For Quality-Based, Qualifications, and Single-Source provide relevant information as indicated.



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## **Section IV. Financial Evaluation Report—Award Recommendation—Forms<sup>8</sup>**

- Form IVA. Financial Evaluation—Basic Data
- Form IVB. Adjustments—Currency Conversion—Evaluated Prices
- Form IVC. QCBS—Combined Technical/Financial Evaluation—Award Recommendation
- Form IVD. Fixed-Budget and Least-Cost Selection—Award Recommendation

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<sup>8</sup> Applies to QCBS, Fixed-Budget, and Least-Cost. For Quality-Based, Qualifications, and Single-Source, provide relevant information as indicated.

**Form IVA. Financial Evaluation—Basic Data**

4.1 Public opening of financial proposals  
 Date \_\_\_\_\_ Time \_\_\_\_\_  
 (a) Names and proposal prices  
 (mark Consultants that attended public opening)  
 1. \_\_\_\_\_  
 2. \_\_\_\_\_  
 3. \_\_\_\_\_  
 4. \_\_\_\_\_

4.2 Evaluation committee: members' names and titles (if not the same as in the technical evaluation - Quality-Based, Qualifications, Single-Source)  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

4.3 Methodology (formula) for evaluation of cost  
 \_\_\_\_\_

4.4 QCBS  
 (a) Technical, financial and final scores (**Quality-Based: technical scores only**)

Consultant's Name	Technical scores	Financial scores	Final scores
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(b) Award recommendation  
 \_\_\_\_\_

4.5 Fixed Budget and Least-Cost  
 (a) Technical scores, proposal and evaluated prices

Consultant's Name	Technical scores	Proposal prices	Evaluated prices
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

- (b) Award recommendation \_\_\_\_\_
- (c) Fixed-Budget: best technical proposal within the budget (evaluated price) Name \_\_\_\_\_
- (d) Least-Cost: lowest evaluated price proposal above minimum qualifying score Name \_\_\_\_\_

## Form IVB. Adjustments—Currency Conversion—Evaluated Prices<sup>9</sup>

Consultants' Names	Proposals' prices <sup>a</sup>		Adjustments <sup>b</sup>	Evaluated price(s)	Conversion to currency of evaluation <sup>c</sup>		Financial scores <sup>d</sup>
	Currency	Amounts (1)	(2)	(3) = (1) + (2)	Exchange rate(s) <sup>e</sup> (4)	Proposals' prices (5) = (3)(4)	(6)

- a. Comments, if any (e.g., exchange rates); three foreign currencies maximum, plus local currency.
- b. Arithmetical errors and omissions of items included in the technical proposals. Adjustments may be positive or negative.
- c. As per RFP.
- d. 100 points to the lowest evaluated proposal; other scores to be determined in accordance with provisions of RFP.
- e. Value of one currency unit in the common currency used for evaluation purposes, normally the local currency (e.g., US\$1 = 30 rupees). Indicate source as per RFP.

<sup>9</sup> For Quality-Based, Qualifications, and Single-Source, fill out only up to column 3.

**Form IVC. QCBS—Combined Technical/Financial Evaluation—Award Recommendation**

Consultants' names	Technical Evaluation			Financial Evaluation		Combined Evaluation	
	Technical scores <sup>a</sup> S(t)	Weighted scores S(t) × T <sup>b</sup>	Technical rank	Financial scores <sup>c</sup> S(f)	Weighted scores S(f) × F <sup>d</sup>	Scores S(t) T + S(f) F	Rank
Award recommendation	To highest combined technical/financial score. Consultant's name: _____						

- a. See Form IIB.
- b. T = As per RFP.
- c. See Form IVB.
- d. F = as per RFP.

**Form IVD. Fixed-Budget and Least-Cost Selection—Award Recommendation<sup>10</sup>**

Consultants' names	Fixed-Budget Selection		Least-Cost Selection	
	Technical scores <sup>a</sup>	Evaluated prices <sup>b</sup>	Technical scores	Evaluated prices
Award recommendation	To best technical score with evaluated price within budget. Consultant's name: _____		To lowest evaluated price above minimum qualifying score. Consultant's name: _____	

- a. See Form IIB.
- b. See Form IVB.

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<sup>10</sup> Fill in appropriate part of form.



## **Section V. Annexes<sup>11</sup>**

### Annex I. Individual Evaluations

Form V Annex I(i). Individual Evaluations

Form V Annex I(ii). Individual Evaluations—Key Personnel

### Annex II. Information Data Monitoring

### Annex III. Minutes of Public Opening of Financial Proposals

### Annex IV. Request for Proposals

### Annex V. Miscellaneous Annexes—Ad Hoc

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<sup>11</sup> Annex I applies to Quality-Based, Fixed-Budget and Least-Cost. For Qualifications and Single-Source, it is replaced by a review of the strengths and weaknesses of the proposal, which may be amended by one or several evaluators.

### Annex I (i). Individual Evaluations

Consultant's name: \_\_\_\_\_

Criteria/Sub-Criteria	Maximum Scores	Evaluators					Average Scores
		1	2	3	4	5	
Experience							
-							
-							
-							
Methodology							
-							
-							
-							
Key Staff							
-							
-							
-							
Transfer of Knowledge (Training <sup>a</sup> )							
-							
-							
-							
Participation by Nationals <sup>a</sup>							
-							
-							
-							
<b>Total</b>	<b>100</b>						

a. If specified in the RFP

- 1. Evaluator's Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_
- 2. Evaluator's Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_
- 3. Evaluator's Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_
- 4. Evaluator's Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_
- 5. Evaluator's Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## Annex I(ii) Individual Evaluations—Key Personnel

Consultant's Name: \_\_\_\_\_

Key Staff Names <sup>a</sup>	Maximum Scores	General Qualifications ( ) <sup>b</sup>	Adequacy for the Assignment ( ) <sup>b</sup>	Experience in Region ( ) <sup>b</sup>	Total Marks (100)	Scores
<b>Total</b>						

- a. Sometimes evaluations are made by groups instead of individuals. Each group (e.g. financial group) has a weight. The group score is obtained by the weighted scores of the members of the group. For example, the score of a group of three individuals scoring a, b, and c would be  $ax + by + cz$  with x, y, and z representing the respective weights of the members ( $x + y + z = 1$ ) in this group.
- b. Maximum marks as per RFP

Name of Evaluator: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## Annex II. Information Data Monitoring

- 5.1 Loan/credit/grant
- (a) number \_\_\_\_\_
- (b) date of effectiveness \_\_\_\_\_
- (c) closing date \_\_\_\_\_
- (i) original \_\_\_\_\_
- (ii) revised \_\_\_\_\_
- 5.2 General Procurement Notice
- (a) first issue date \_\_\_\_\_
- (b) latest update \_\_\_\_\_
- 5.3 Request for expressions of interest<sup>12</sup>:
- (a) publication in *United Nations Development Business* (UNDB) Date \_\_\_\_\_
- (b) publication in national local newspaper(s) Name of newspaper(s) and date(s) \_\_\_\_\_
- \_\_\_\_\_
- 5.4 Did the use of price as a factor of selection change the final ranking?<sup>13</sup> Yes \_\_\_\_\_ No \_\_\_\_\_
- 5.5 Did the use of “local input” as a factor of selection change the technical ranking?<sup>14</sup> Yes \_\_\_\_\_ No \_\_\_\_\_

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<sup>12</sup> Required for large contracts (see Manual of Procedures).

<sup>13</sup> Compare technical rank with rank in Form IVC.

<sup>14</sup> Figure out technical scores with and without “local input” (Form IIB).

### **Annex III. Minutes of Public Opening of Financial Proposals<sup>15</sup>**

#### MINUTES

*[The minutes should indicate the names of the participants in the proposal opening session, the proposal prices, discounts, technical scores, and any details that the Procuring entity, at its discretion, may consider appropriate.]*

*All attendees must sign the Minutes.]*

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<sup>15</sup> Annex III applies to QCBS, Fixed-Budget, and Least-Cost.

## **Annex IV. Miscellaneous Annexes—Ad Hoc**